

10/555708

JC20 Rec'd PCT/PTO 04 NOV 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Hideaki MITA et al. : **Mail Stop: PCT**  
Serial No. NEW : Attorney Docket No. 2005\_1726A  
Filed November 4, 2005 :  
RECORDING/REPRODUCTION DEVICE : THE COMMISSIONER IS AUTHORIZED  
AND RECORDING/REPRODUCTION : TO CHARGE ANY DEFICIENCY IN THE  
METHOD : FEES FOR THIS PAPER TO DEPOSIT  
[Corresponding to PCT/JP2004/006290 : ACCOUNT NO. 23-0975  
Filed April 30, 2004]

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☒ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application  
PCT/\_\_\_\_\_; and/or
- ☐ each reference previously cited in prior parent application Serial No.  
\_\_\_\_\_.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

ATTACHMENT D

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

**and thus no certification and/or fee is required.**

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and**

**the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

- a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
  - b. ☒ An International Search Report (in the English language) submitted herewith,
  - c. ☐ the concise explanation contained in the specification of the present application at page,
  - d. ☒ the concise explanation set forth in the attached English language abstract,
  - e. ☒ the concise explanation set forth below or on a separate sheet attached to the reference:

JP 2001-275083 corresponds to US 2002/0012522

JP 11-353856 corresponds to US 6,373,798

JP 2002-334511 corresponds to US 2002/0129262

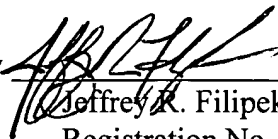
JP 9-102185 corresponds to US 5,731,923

5. ☒ An International Search Report citing one or more of the references is enclosed.

Respectfully submitted,

Hideaki MITA et al.

By



Jeffrey R. Filipek

Registration No. 41,471

Attorney for Applicants

JRF/fs  
Washington, D.C. 20006-1021  
Telephone (202) 721-8200  
Facsimile (202) 721-8250  
November 4, 2005

1020 Rec'd PCT/PTO 04 NOV 2005

FORM PTO 1449 (modified)

ATTY DOCKET NO.  
2005\_1726ASERIAL NO.  
NEW

10/555708

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)APPLICANT  
Hideaki MITA et al.

Date Submitted to PTO: November 4, 2005

FILING DATE  
November 4, 2005

GROUP

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	2002/0012522	1-31-02	Kawakami et al.			
	AB	6,373,798	4-16-02	Arai			
	AC	2002/0129262	9-12-02	Kutaragi et al.			
	AD	5,731,923	3-24-98	Sakuma			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AE	2000-182358	6-30-00	Japan			abstract
	AF	2002-10203	1-11-02	Japan			abstract
	AG	2001-275083	10-5-01	Japan			abstract and corresponds to AA
	AH	2000-228081	8-15-00	Japan			abstract
	AI	11-38954	2-12-99	Japan			abstract
	AJ	11-353856	12-24-99	Japan			abstract and corresponds to AB
	AK	2002-334511	11-22-02	Japan			abstract and corresponds to AC
	AL	9-102185	4-15-97	Japan			abstract and corresponds to AD
	AM	2000-40346	2-8-00	Japan			abstract

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AN	
	AO	

EXAMINER

DATE CONSIDERED